Shareholding Pattern

BAFNA PHARMACEUTICALS LIMITED

General Information

Scrip code	532989
NSE Symbol	BAFNAPH
MSEI Symbol	NOTLISTED
ISIN	INE878I01022
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2026
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration

Searial No.	Particular	Yes / No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether Listed Entity has granted any ESOPs, which are outstanding?	No	No	No	No
5	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
6	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
7	Whether any shares held by promoters are encumbered under 'Pledge'?	No	No		
8	Whether any shares held by promoters are encumbered under 'Non-Disposal Undertaking'?	No	No		
9	Whether any shares held by promoters are encumbered, other than by way of Pledge or NDU, if any?	No	No		
10	Whether company has equity shares with differential voting rights?	No	No	No	No
11	Whether the listed entity has any significant beneficial owner?	Yes			

Table I - Summary Statement holding of specified securities

Note : Data will be automatically populated from shareholding pattern sheet - Data Entry Restricted in this sheet

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. Of Shares Underlying Outstanding convertible securities(XA)	No. of Shares Underlying Outstanding Warrants (XB)	No. Of Outstanding ESOP Granted (XC)	No. of Shares Underlying Outstanding convertible securities, No. of Warrants and ESOP etc. (X) = (XA+XB+XC)	Total No. of shares on fully diluted basis (including warrants, ESOP, Convertible Securities etc.) (XI)= (VII+X)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XII)= (VII)+(X)As a % of (A+B+C2)	Number of Locked in shares (XIII)		Number of Shares pledged (XIV)		Non-Disposal Undertaking (XV)		Other encumbrances, if any (XVI)		Total of S encu (XV (XIV+
								Class eg:X	Class eg:Y	Total	Total as a % of (A+B+C)							No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)	
(A)	Promoter & Promoter Group	7	17742251			17742251	75.00%	17742251		17742251	75.00%					17742251	75.00%										
(B)	Public	6803	5914084			5914084	25.00%	5914084		5914084	25.00%					5914084	25.00%										
(C)	Non Promoter- Non Public																										
(C1)	Shares underlying DRs																										
(C2)	Shares held by Employee Trusts																										
	Total	6810	23656335			23656335	100.00%	23656335		23656335	100.00%					23656335	100.00%										

Shareholding Pattern

Searial No.	Category & Name of the Shareholders (I)	Category	Category / More than 1 percentage	Bank Name	No of the Shareholders (III)	No.of fully paid up equity shares held (IV)	No.Of Partly paid-up equity shares held (V)	No.Of shares underlying Depository Receipts (VI)	Total nos.shares held (VII) = (IV) + (V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No.Of Shares Underlying Outstanding convertible securities (XA)	No.of Shares Underlying Outstanding Warrants (XB)	No.Of Outstanding ESOP Granted (XC)	No.of Shares Underlying Outstanding convertible securities, No. of Warrants and ESOP etc. (X) = (XA+XB+XC)	Total No.of shares on fully diluted basis (including warrants, ESOP, Convertible Securities etc.) (XI)= (VII+X)	Shareholding , as a % assuming full conversion of convertible securities(as a percentage of diluted share capital) (XII)= (VII)+(X) As a % of(A+B+C2)	Number of Locked in shares (XIII))		Number of Shares pledged (XIV)		D Und
											No of Voting (XIV) Rights			Total as a % of Total Voting rights							No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)
											Class eg:X	Class eg:Y	Total												
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group																								
(1)	Indian																								
(a)	Individuals/Hindu undivided Family				5	100246			100246	0.42%	100246		100246	0.42%					100246	0.42%					
	P PARAS BAFNA				1	50000			50000	0.21%	50000		50000	0.21%					50000	0.21%					
	NAVIN BAFNA				1	42015			42015	0.18%	42015		42015	0.18%					42015	0.18%					
	MAHAVEER CHAND BAFNA				1	6338			6338	0.03%	6338		6338	0.03%					6338	0.03%					
	SASIKALA BAFNA				1	1844			1844	0.01%	1844		1844	0.01%					1844	0.01%					
	PARAS BAFNA				1	49			49	0.00%	49		49	0.00%					49	0.00%					
	CHETNA BAFNA				0	0			0	0.00%	0		0	0.00%					0	0.00%					
	MAHAVEER CHAND BAFNA HUF				0	0			0	0.00%	0		0	0.00%					0	0.00%					
(b)	Central Government/ State Government(s)																								
(c)	Financial Institutions/ Banks																								
(d)	Any Other (specify)				2	17642005			17642005	74.58%	17642005		17642005	74.58%					17642005	74.58%					
	SRJR LIFESCIENCES LLP	Bodies Corporate			1	17640016			17640016	74.57%	17640016		17640016	74.57%					17640016	74.57%					
	AMRI BAI BAFNA	Director or Director's Relatives			1	1989			1989	0.01%	1989		1989	0.01%					1989	0.01%					
	Sub-Total (A)(1)				7	17742251			17742251	75.00%	17742251		17742251	75.00%					17742251	75.00%					
(2)	Foreign																								
(a)	Individuals (NonResident Individuals/ Foreign Individuals)																								
(b)	Government																								
(c)	Institutions																								
(d)	Foreign Portfolio Investor																								
(e)	Any Other (specify)																								
	Sub-Total (A)(2)																								
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				7	17742251			17742251	75.00%	17742251		17742251	75.00%					17742251	75.00%					
B	Table III - Statement showing shareholding pattern of the Public shareholder																								
(1)	Institutions (Domestic)																								
(a)	Mutual Funds																								
(b)	Venture Capital Funds																								
(c)	Alternate Investment Funds																								
(d)	Banks																								
(e)	Insurance Companies																								
(f)	Provident Funds/ Pension Funds																								
(g)	Asset reconstruction companies																								
(h)	Sovereign Wealth Funds																								
(i)	NBFCs registered with RBI																								
(j)	Other Financial Institutions																								
(k)	Any Other (specify)																								
	Sub-Total (B)(1)																								
(2)	Institutions (Foreign)																								
(a)	Foreign Direct Investment																								
(b)	Foreign Venture Capital Investors																								
(c)	Sovereign Wealth Funds																								
(d)	Foreign Portfolio Investors Category I				3	2209803			2209803	9.34%	2209803		2209803	9.34%					2209803	9.34%					
	CULLINAN OPPORTUNITIES FUND VCC- CULLINAN OPPORTUNITIES INCORPORATED VCC SUB FUND 1				1	760059			760059	3.21%	760059		760059	3.21%					760059	3.21%					
	M7 GLOBAL FUND PCC-CELL DEWCAP FUND				1	737158			737158	3.12%	737158		737158	3.12%					737158	3.12%					
	ZEAL GLOBAL OPPORTUNITIES FUND				1	712586			712586	3.01%	712586		712586	3.01%					712586	3.01%					
(e)	Foreign Portfolio Investors Category II																								
(f)	Overseas Depositories (holding DRs) (balancing figure)																								
(g)	Any Other (specify)																								
	Sub-Total (B)(2)				3	2209803			2209803	9.34%	2209803		2209803	9.34%					2209803	9.34%					
(3)	Central Government / State Government(s)																								
(a)	Central Government / President of India																								
(b)	State Government / Governor																								
(c)	Shareholding by Companies or Bodies Corporate where Central / State Government is a promoter																								
	Sub-Total (B)(3)																								
(4)	Non-institutions																								
(a)	Associate companies / Subsidiaries																								
(b)	Directors and their relatives (excluding																								

Searial No.	Category & Name of the Shareholders (I)	Category	Category / More than 1 percentage	Bank Name	No of the Shareholders (III)	No.of fully paid up equity shares held (IV)	No.Of Partly paid-up equity shares held (V)	No.Of shares underlying Depository Receipts (VI)	Total nos.shares held (VII) = (IV) + (V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No.Of Shares Underlying Outstanding convertible securities (XA)	No.of Shares Underlying Outstanding Warrants (XB)	No.Of Outstanding ESOP Granted (XC)	No.of Shares Underlying Outstanding convertible securities, No. of Warrants and ESOP etc. (X) = (XA+XB+XC)	Total No.of shares on fully diluted basis (including warrants, ESOP, Convertible Securities etc.) (XI)= (VII+X)	Shareholding , as a % assuming full conversion of convertible securities(as a percentage of diluted share capital) (XII)= (VII)+(X) As a % of(A+B+C2)	Number of Locked in shares (XIII))		Number of Shares pledged (XIV)		D Und
											No of Voting (XIV) Rights			Total as a % of Total Voting rights							No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	No. (a)
											Class eg:X	Class eg:Y	Total												
	independent directors and nominee directors)																								
(c)	Key Managerial Personnel																								
(d)	Relatives of promoters (other than 'immediate relatives' of promoters disclosed under 'Promoter and Promoter Group' category)																								
(e)	Trusts where any person belonging to 'Promoter and Promoter Group' category is 'trustee', 'beneficiary', or 'author of the trust'																								
(f)	Investor Education and Protection Fund (IEPF)				1	2137			2137	0.01%	2137		2137	0.01%					2137	0.01%					
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs				6449	1296619			1296619	5.48%	1296619		1296619	5.48%					1296619	5.48%					
(h)	Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs				11	1535603			1535603	6.49%	1535603		1535603	6.49%					1535603	6.49%					
	ALPANA MUNDRA				1	646350			646350	2.73%	646350		646350	2.73%					646350	2.73%					
	GUTTIKONDA VARA LAKSHMI				1	304223			304223	1.29%	304223		304223	1.29%					304223	1.29%					
(i)	Non Resident Indians (NRIs)				75	126018			126018	0.53%	126018		126018	0.53%					126018	0.53%					
(j)	Foreign Nationals																								
(k)	Foreign Companies																								
(l)	Bodies Corporate				52	586376			586376	2.48%	586376		586376	2.48%					586376	2.48%					
	MONARCH NETWORTH FINSERVE PRIVATE LIMITED				1	318254			318254	1.35%	318254		318254	1.35%					318254	1.35%					
(m)	Any Other (specify)				212	157528			157528	0.67%	157528		157528	0.67%					157528	0.67%					
		HUF	Category		210	157517			157517	0.67%	157517		157517	0.67%					157517	0.67%					
		LLP	Category		2	11			11	0.00%	11		11	0.00%					11	0.00%					
	Sub-Total (B)(4)				6800	3704281			3704281	15.66%	3704281		3704281	15.66%					3704281	15.66%					
	(B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)				6803	5914084			5914084	25.00%	5914084		5914084	25.00%					5914084	25.00%					
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder																								
(1)	Custodian/DR Holder - Name of DR Holders (If Available)																								
(2)	Employee Benefit Trust / Employee Welfare Trust under SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021																								
	Total NonPromoter-Non Public Shareholding (C)= (C)(1)+(C)(2)																								
	Total (A+B+C2)				6810	23656335	0		23656335	100.00%	23656335		23656335	100.00%			0	0	23656335						
	Total (A+B+C)				6810	23656335			23656335	100.00%	23656335		23656335	100.00%					23656335	100.00%					

Disclosure of notes on shareholding pattern	
Disclosure of notes in case of promoter holding in dematerialsed form is less than 100 percentage	
Disclosure of notes in case of public share holding is less than 25 percentage	
Disclosure of notes on shareholding pattern for company remarks explanatory	

Significant Beneficial Owners

Searial No.	Details of the SBO			Details of the registered owner			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest
							Whether by virtue of:					
	Name	Nationality	Nationality(Applicable in case of Any other is selected)	Name	Nationality	Nationality(Applicable in case of Any other is selected)	Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
1	MAHAVEER CHAND BAFNA	India		SRJR LIFESCIENCES LLP	India		75.00%	75.00%	75.00%	Yes	Yes	10-09-2020
2	SRINIVASA RAJU CHINTALAPATI	India		SRJR LIFESCIENCES LLP	India		75.00%	75.00%	75.00%	Yes	Yes	10-09-2020
3	JYOTHI RAJU CHINTALAPATI	India		SRJR LIFESCIENCES LLP	India		75.00%	75.00%	75.00%	Yes	Yes	10-09-2020

Table VI - Statement showing foreign ownership limits

Particular	Approved limits(%)	Limits utilized(%)
As on shareholding date	100.00%	9.78%
As on the end of previous 1st quarter	100.00%	9.78%
As on the end of previous 2nd quarter	100.00%	9.78%
As on the end of previous 3rd quarter	100.00%	9.49%
As on the end of previous 4th quarter	100.00%	9.50%